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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |                |            |
|------------------------|----------------|------------|
| Application Number     |                |            |
| Filing Date            |                | 2006-06-23 |
| First Named Inventor   | Genji IMAI     |            |
| Art Unit               |                |            |
| Examiner Name          | Unknown        |            |
| Attorney Docket Number | WAKAB92.004APC |            |

## **U.S.PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       |               |                        |            |   |  |

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## **U.S.PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
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## **FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T5                                  |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|-------------------------------------|
|                   | 1       | 05-117481                            | JP                        |                        | 1993-05-14       | Asahi Glass Co., Ltd.                           | (English Abstract Only)  | <input checked="" type="checkbox"/> |
|                   | 2       | 2000-251676                          | JP                        |                        | 2000-09-14       | Canon Inc                                       | (English Abstract Only)  | <input checked="" type="checkbox"/> |
|                   | 3       | 2002-145971                          | JP                        |                        | 2002-05-22       | Japan Science & Technology Corp                 | (English Abstract Only)  | <input checked="" type="checkbox"/> |

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|  |   |             |    |  |            |  |                         |                                     |
|--|---|-------------|----|--|------------|--|-------------------------|-------------------------------------|
|  | 4 | 2003-128409 | JP |  | 2003-05-08 | Ube Ind Ltd                                    | (English Abstract Only) | <input checked="" type="checkbox"/> |
|  | 5 | 2003-277987 | JP |  | 2003-10-02 | Tayca Corp, Minoura<br>Hideki, Yoshida Tsukasa | (English Abstract Only) | <input checked="" type="checkbox"/> |

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#### NON-PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials* | Cite<br>No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
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#### EXAMINER SIGNATURE

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                |                     |            |
|------------|----------------|---------------------|------------|
| Signature  | /KOA43315/     | Date (YYYY-MM-DD)   | 2006-06-26 |
| Name/Print | KATSUHIRO ARAI | Registration Number | 43315      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Genji IMAI ) Group Art Unit Unknown  
Int'l App. No. : PCT/JP2004/019331 )  
Int'l Filing Date : December 24, 2004 )  
For : POLYMER AND PROCESS FOR )  
PRODUCING POLYMER )  
Examiner : Unknown )

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

Enclosed is form PTO/SB/08 Equivalent listing references that are also enclosed. As indicated in the accompanying Form PCT/ISA/210, all documents were cited in the International Search Report in the international phase of the present U.S. national phase application. Although the listed references are already of record during the International Phase of the application, they are submitted in the present Information Disclosure Statement for the convenience of the Examiner to ensure that the references are listed on the cover of any patent issued on the present application.

This Information Disclosure Statement is being filed within three months of the date of entry of the national stage of this application, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(2).

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 26, 2006

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